

The background of the entire page is a composite image. On the left, a sailboat is shown from a low angle, with several people on deck. The right side features a large, semi-transparent image of a gold compass with a chain, positioned as if it's part of the background. The text is overlaid on this background.

# Charting a Course for Excellence

**ASSOCIATION OF  
HEALTHCARE INTERNAL AUDITORS**  
2009 ANNUAL CONFERENCE

August 30 – September 2, 2009

Marriott San Diego Hotel & Marina  
San Diego, CA



[www.ahia.org](http://www.ahia.org)

| <b>Sunday, August 30, 2009</b>  |   |  |   |   |   |  |
|---|---|--|---|---|---|--|
| 7:00am – 6:00pm   | <b>Registration Open</b>  |  |   |   |   |  |
| 8:00am – 10:15am<br>10:30am – 12:00pm<br>1:00pm – 3:30pm<br>3:45pm – 5:00pm | <b>Seminar 1: Healthcare 101 – What Every Auditor New to Healthcare Should Know</b><br><i>Andrea Clark, Melissa Fincham</i><br>Level: Introductory, CPE: 9  |  |   |   |   |  |
| 8:00am – 9:40am<br>10:00am – 11:40am<br>1:00pm – 2:40pm<br>3:00pm – 4:40pm  | <b>Seminar 2: ACL Tips and Tricks: Best Practices for Getting More Out of ACL for Your Organization</b><br><i>Michael Keiffer</i><br>Level: Professional, CPE: 8                                  |  |   |   |   |  |
| 8:00am – 10:15am<br>10:30am – 12:00pm<br>1:00pm – 3:30pm<br>3:45pm – 5:00pm | <b>Seminar 3: Preparing to Prepare for the Certified Internal Auditor’s Exam</b><br><i>Speaker: James P. Winnenger</i><br>Level: Professional, CPE: 9   |  |   |   |   |  |
| 9:00am – 10:20am<br>10:30am – 11:40am                                       | <b>Seminar 4: Fraud 101 – Creating Awareness and Antifraud Programs – Balancing Compliance with Best Practices</b><br><i>Larry Rosipajla</i><br>Level: All, CPE: 3                                |  |   |   |   |  |
| <b>Monday, August 31, 2009</b>  |   |  |   |   |   |  |
| 7:00am – 6:00pm   | <b>Registration Open</b>  |  |   |   |   |  |
| 7:00am – 8:00am   | <b>Breakfast</b>  |  |   |   |   |  |
| 8:00am – 6:30pm   | <b>Exhibit Hall Open</b>  |  |   |   |   |  |
| 8:00am – 9:30am   | <b>Welcome and Opening Comments:</b> Mark Eddy and Amy Greenhoe<br><b>Opening General Session: Transforming Professionals into Rainmakers, Ed Robinson</b><br>Level: All, CPE: 1                  |  |   |   |   |  |
| 9:30am – 9:50am   | <b>Mid-morning Break</b>  |  |   |   |   |  |
| Breakout Sessions   | <b>Audit Management (A)</b>   | <b>Compliance (B)</b>  | <b>Information Technology (C)</b>   | <b>Legal and Regulatory (D)</b>   | <b>Revenue and Finance (E)</b>  | <b>Specialty Areas (F)</b>   |
| 9:50am -10:40am<br>10:50am – 11:40am  | <b>A1</b><br><b>Increasing the Effectiveness of Communications and Interactions with Your Audit Committee is Critical to Your Success</b><br><i>Glen Mueller</i><br>Level: Professional<br>CPE: 2 | <b>B1</b><br><b>How to Develop &amp; Use Physician Scorecards to Audit/Monitor Physician Compliance</b><br><i>Andrei Costantino</i><br>Level: Professional<br>CPE: 2 | <b>C1</b><br><b>System Implementation Audits: Not Just for IT Auditors Anymore</b><br><i>Sue Smoger</i><br>Level: All<br>CPE: 2 | <b>D1</b><br><b>Corporate Internal Investigations</b><br><i>Larry Rosipajla</i><br>Level: All<br>CPE: 2 | <b>E1</b><br><b>Revenue Integrity for Emergency Services</b><br><i>Andrea Clark<br/>Melissa Fincham</i><br>Level: All<br>CPE: 2 | <b>F1</b><br><b>Emerging Issues in Health Care Fraud and Considerations for Internal Audit</b><br><i>Rebecca S. Busch</i><br>Level: Professional<br>CPE: 2 |

**Monday, August 31, 2009**

|                                    |  |  |   |  |  |  |
|------------------------------------|--|--|---|--|--|--|
| 11:40am – 1:00pm                   | <b>Lunch</b>   |  |   |  |  |  |
| Breakout Sessions                  | <b>Audit Management (A)</b>  | <b>Compliance (B)</b>  | <b>Information Technology (C)</b>   | <b>Legal and Regulatory (D)</b>  | <b>Revenue and Finance (E)</b>   | <b>Specialty Areas (F)</b>   |
| 1:00pm – 1:50pm<br>2:00pm – 2:50pm | <b>A2</b><br><b>2009 Internal Audit Capabilities and Needs Survey</b><br><i>Robert Hirth</i><br>Level: Professional<br>CPE: 2  | <b>B2</b><br><b>Oncology Revenue Cycle Integrity</b><br><i>Andrea Clark</i><br>Level: Professional<br>CPE: 2                                       | <b>C2</b><br><b>The Secret Life of Application Controls</b><br><i>Steve Hunt</i><br>Level: Professional CPE: 2  | <b>D2</b><br><b>A Whistleblower's Journey</b><br><i>John Schilling</i><br>Level: All<br>CPE: 2                                   | <b>E2</b><br><b>Charge Capture Auditing – How to Uncover Revenue Leakage</b><br><i>Bridget Johnson</i><br><i>Joanne Ouellet</i><br>Level: Professional<br>CPE: 2 | <b>F2</b><br><b>Medical Identity Theft and Considerations for Internal Audit</b><br><i>Rebecca S. Busch</i><br>Level: Professional<br>CPE: 2                 |
| 2:50pm – 3:10pm                    | <b>Mid-afternoon Break</b>   |  |   |  |  |  |
| 3:10pm – 4:00pm<br>4:10pm – 5:00pm | <b>A3</b><br><b>Enterprise Risk Management (ERM) – How Internal Audit Can Add Great Value to Your Organization's ERM Process</b><br><i>Glen Mueller</i><br>Level: Professional<br>CPE: 2 | <b>B3</b><br><b>Heal Me: Steps to Documentation and Coding Compliance in Wound Care</b><br><i>Melissa Fincham</i><br>Level: Professional<br>CPE: 2 | <b>C3</b><br><b>Overview of Control Frameworks: (COBIT®), COSO, Information Technology Infrastructure Library (ITIL) and ISO</b><br><i>Jennifer F. Alfara</i><br>Level: All<br>CPE: 2 | <b>D3</b><br><b>Auditing for Stark Compliance</b><br><i>Catherine Wakefield</i><br><i>Scott Petersen</i><br>Level: All<br>CPE: 2 | <b>E3</b><br><b>OPPS 2009 Challenges Moving to Proposed 2010 Rules</b><br><i>Andrea Clark</i><br>Level: Professional<br>CPE: 2                                   | <b>F3</b><br><b>Essentials of Construction Auditing – Identifying Overstated Costs and Hidden Profit</b><br><i>Marshall Goldberg</i><br>Level: All<br>CPE: 2 |
| 5:00pm – 6:30pm                    | <b>Welcome Reception</b>   |  |   |  |  |  |

**Tuesday, September 1, 2009**

|                                      |   |   |   |  |   |  |
|--------------------------------------|---|---|---|--|---|--|
| 6:00am – 7:00am                      | <b>Fun Run/Walk – Meet in the hotel lobby.</b>  |   |   |  |   |  |
| 7:00am – 6:00pm                      | <b>Registration Open</b>  |   |   |  |   |  |
| 7:00am – 8:00am                      | <b>Breakfast</b>  |   |   |  |   |  |
| 8:00am – 5:00pm                      | <b>Exhibit Hall Open</b>  |   |   |  |   |  |
| 8:00am – 9:30am                      | <b>Opening Comments</b><br><b>General Session: Selling Your Ideas, Your Vision, Even Yourself Painlessly!</b><br><i>Barry Maher</i><br>Level: All, CPE: 1 |   |   |  |   |  |
| 9:30am – 9:50am                      | <b>Mid-morning break</b>  |   |   |  |   |  |
| Breakout Sessions                    | <b>Audit Management (A)</b>   | <b>Compliance (B)</b>   | <b>Information Technology (C)</b>   | <b>Legal and Regulatory (D)</b>  | <b>Revenue and Finance (E)</b>  | <b>Specialty Areas (F)</b>   |
| 9:50am -10:40am<br>10:50am – 11:40am | <b>A4</b><br><b>Managing Conflicts-of-Interests in Research, Education &amp; Healthcare</b><br><i>Stuart Horowitz</i><br>Level: All<br>CPE: 2             | <b>B4</b><br><b>Are you ready for the RACs and the MACs? Do your Inpatient and E&amp;M/Outpatient Claims Capture the Same Severity-of-Illness</b><br><i>Betty Bibbins</i><br>Level: All<br>CPE: 2 | <b>C4</b><br><b>Strategies for Performing High-Value Information Technology Audits</b><br><i>Matt Jackson</i><br><i>Susan Haseley</i><br>Level: All<br>CPE: 2 | <b>D4</b><br><b>How To Conduct Fraud and Internal Audit Enterprise Risk Assessments at Your Organization</b><br><i>Danette Eibl</i><br><i>Bruce Kincai</i><br>Level: All<br>CPE: 2 | <b>E4</b><br><b>Profit Recovery: How Audit Can Return Money to the Bottom Line</b><br><i>Peter Millar</i><br>Level: All<br>CPE: 2 | <b>F4</b><br><b>What You Should Know for Auditing Capital Projects</b><br><i>Robert Bright</i><br>Level: All<br>CPE: 2 |
| 11:40am – 1:00pm                     | <b>Lunch</b>  |   |   |  |   |  |

| <b>Tuesday, September 1, 2009</b>  |   |   |   |  |   |   |
|------------------------------------|---|---|---|--|---|---|
| 1:00pm – 1:50pm<br>2:00pm – 2:50pm | <b>A5</b><br><b>Measuring the Effectiveness of Your Human Capital</b><br><i>Patricia Dougherty</i><br>Level: Professional<br>CPE: 2                                 | <b>B5</b><br><b>Compliance Score Card</b><br><i>Lynda Hilliard</i><br>Level: All<br>CPE: 2                              | <b>C5</b><br><b>HIPAA – Privacy &amp; Security Requirements &amp; Remediation</b><br><i>Scott Smith</i><br>Level: All<br>CPE: 2                   | <b>D5</b><br><b>HHS, OIG Audits: Purpose, Process, Ways to Utilize Their Approach</b><br><i>Lori Ahlstrand</i><br><i>Craig T. Briggs</i><br>Level: All<br>CPE: 2   | <b>E5</b><br><b>Better, Faster, More Cost Effective Healthcare Audits: Leveraging the Power of Data for Revenue Recovery</b><br><i>Peter Millar</i><br>Level: All<br>CPE: 2 | <b>F5</b><br><b>Development of a Comprehensive Research Compliance Program for Monitoring Adverse Events</b><br><i>Joan M. Caron</i><br>Level: All<br>CPE: 2        |
| 2:50pm – 3:10pm                    | <b>Mid-afternoon Break</b>  |   |   |  |   |   |
| Breakout Sessions                  | <b>Audit Management (A)</b>   | <b>Compliance (B)</b>   | <b>Information Technology (C)</b>   | <b>Legal and Regulatory (D)</b>  | <b>Revenue and Finance (E)</b>  | <b>Specialty Areas (F)</b>  |
| 3:10pm – 4:00pm<br>4:10pm – 5:00pm | <b>A6</b><br><b>Strategies for Performing High Value Revenue Cycle Audits</b><br><i>Richard Williams</i><br><i>Don Billingsley</i><br>Level: Professional<br>CPE: 2 | <b>B6</b><br><b>Rehab Compliance Risks and Auditing Techniques</b><br><i>Christine Bachrach</i><br>Level: All<br>CPE: 2 | <b>C6</b><br><b>Auditing IT Security Controls for the Non-Technical Auditor</b><br><i>Michael (Mac) McMillan</i><br>Level: Introductory<br>CPE: 2 | <b>D6</b><br><b>Employee Free Choice Act: Overview of New Labor Organizing Rules and Regulations</b><br><i>Patricia Dougherty</i><br>Level: Professional<br>CPE: 2 | <b>E6</b><br><b>How “SOX-Like” Compliance Can Benefit the Healthcare Industry</b><br><i>Mark Zajac</i><br><i>Doug Farmer</i><br>Level: Introductory<br>CPE: 2               | <b>F6</b><br><b>De-Stress for Success: Managing Stress to Promote Work/Life Balance and Restore the Joy of Living</b><br><i>Barry Maher</i><br>Level: All<br>CPE: 2 |
| 5:30pm – 6:30pm                    | <b>AHIA Business Meeting</b>  |   |   |  |   |   |
| 6:45pm – 8:30pm                    | <b>Dinner with Someone New – Dinner at local restaurants.</b>   |   |   |  |   |   |

**Wednesday, September 2, 2009**

|                                       |  |   |   |   |   |  |
|---------------------------------------|--|---|---|---|---|--|
| 7:00am – 2:00pm                       | <b>Registration Open</b>   |   |   |   |   |  |
| 7:00am – 8:00am                       | <b>Breakfast</b>   |   |   |   |   |  |
| 8:00am – 9:30am                       | <b>Opening Comments</b><br><b>General Session: Value at the Center: State of Our Industry, 2008-2009</b><br><i>Fred Bayon, The Advisory Board</i><br>Level: All, CPE: 1      |   |   |   |   |  |
| 9:30am – 9:50am                       | <b>Mid-morning Break</b>   |   |   |   |   |  |
| Breakout Sessions                     | <b>Audit Management (A)</b>  | <b>Compliance (B)</b>   | <b>Information Technology (C)</b>   | <b>Legal and Regulatory (D)</b>   | <b>Revenue and Finance (E)</b>  | <b>Specialty Areas (F)</b>   |
| 9:50am – 10:40am<br>10:50am – 11:40am | <b>A7</b><br><b>COSO Guidance on Monitoring Internal Control Systems</b><br><i>Justin Hendrickson</i><br>Level: Professional<br>CPE: 2                                       | <b>B7</b><br><b>The Dark Side of EMR</b><br><i>Schawn Pedersen</i><br>Level: Professional<br>CPE: 2                   | <b>C7</b><br><b>Assessing IT General Controls</b><br><i>Chase Whitaker</i><br>Level: All<br>CPE: 2  | <b>D7</b><br><b>A HIPAA Security Incident and Investigation - It Can Happen To You</b><br><i>Robert Michalski</i><br><i>Sandra Sessoms</i><br>Level: All<br>CPE: 2                    | <b>E7</b><br><b>Revenue Cycle Enterprise Applications Control Auditing</b><br><i>Richard Gould</i><br><i>Peter Yoon</i><br>Level: All<br>CPE: 2                                 | <b>F7</b><br><b>Ten Value Added Audits—Bringing in Money</b><br><i>Catherine Wakefield</i><br><i>Nathan Morgan</i><br>Level: All<br>CPE: 2 |
| 11:40am – 1:00pm                      | <b>Lunch</b>   |   |   |   |   |  |
| 1:00pm – 1:50pm<br>2:00pm – 2:50pm    | <b>A8</b><br><b>Your Critical Role in Driving Strategic Value in Today's Stressed Environment</b><br><i>Mark Erath</i><br><i>Mark Avery</i><br>Level: Professional<br>CPE: 2 | <b>B8</b><br><b>Auditing APCs for Hospital Compliance</b><br><i>Gloryanne Bryant</i><br>Level: Professional<br>CPE: 2 | <b>C8</b><br><b>Electronic Health Records and the Internal Auditor: Are You Ready to be a Hero?</b><br><i>Reed D. Gelzer</i><br>Level: Professional<br>CPE: 2 | <b>D8</b><br><b>Tax Issues Facing Non-Profit Health Care Organizations: How to Recognize and Reduce Your IRS Exposure and Risk</b><br><i>Anne M. McGeorge</i><br>Level: All<br>CPE: 2 | <b>E8</b><br><b>Gateways to Fraud: Auditing Your Policies on Gifts, Travel, Entertainment and Conflicts of Interest</b><br><i>Jose Tabuena</i><br>Level: Professional<br>CPE: 2 | <b>F8</b><br><b>Lean Thinking Concepts for Healthcare</b><br><i>Chris Calderone</i><br>Level: All<br>CPE: 2                                |



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