



Association of Healthcare Internal Auditors

# AHIA Southeast Regional Seminar Houston, Texas



## Information & Registration

**Registration:** AHIA Member \$150  
Non-AHIA Member \$195  
Date: 10/13/2008 AHIA Event  
Contact: AHIA@AHIA.org

**Purpose:** The AHIA Regional Seminars provide a forum for Healthcare internal auditors to network and interact with their peers, to share internal audit best practices, and to strengthen the profession of Healthcare internal auditing.

**Who Should Attend?** Internal Auditors, Senior Internal Auditors, IT Auditors, Audit Supervisors, Internal Audit Managers, Internal Audit Directors, and Chief Audit Executives.

**Education Objectives:** These sessions are designed to be highly interactive to promote attendee participation and sharing of internal audit best practices. Attendees will learn about current healthcare internal audit topics, audit approaches and techniques, and best practices.

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### Schedule

**8:00-8:15 am**

**Registration and Continental Breakfast**

**8:15-8:30 am**

**Welcome**

Regional Seminar Chair

**Daniel W. Pantera**

*Vice President, Business Practices Officer & Chief Audit Officer  
The Methodist Hospital System, Houston Texas*

The Association of Healthcare Internal Auditors

**Barbara Winburn**

*Internal Audit Manager*

*The University of Texas Medical Branch—Galveston Texas*

**8:30-9:45 am**

**Charge Capture Auditing—How to Uncover Revenue Leakage**

**Bridget L. Johnson, CIA, CCSA Audit Supervisor**

*The Methodist Hospital System, Houston Texas*

**Joanne Ouellet, CIA, CPA, Senior Auditor**

*The Methodist Hospital System, Houston Texas*

According to an HFMA report issued last year, 3M studied data on 100 hospitals and noted that “on average organizations were losing 1 percent of revenue to errors in the chargemaster or charge capture.” This presentation will guide you through the steps you can take using ACL to identify and quantify revenue leakage. The objectives of this session will be as follows:

- Identify Charge Capture Risks in Selected Clinical Areas
- Design Tests for Lost Reimbursement Opportunities
- Quantify Potential Reimbursement Impact

**9:45-10:00 am Break**

**10:00-11:40 am**

**Construction Risk & Audit: Challenges & Opportunities**

**Rich Townsend, President**

*R.L. Townsend Associates, LLC, Dallas Texas*

**Debbie Townsend, Vice President**

*R.L. Townsend Associates, LLC, Dallas Texas*

This session will provide insight into some practical approaches that can be used by auditors to effectively assess and address the significant risks related to construction activity. Specific construction project examples of *what went wrong* will be discussed along with recommendations for *what auditors could have done* to prevent and/or minimize the risk on a more timely basis.

**11:40-12:30 pm Lunch (Provided)**

**12:30-1:45 pm**

**Information Security Software Tools and Monitoring Processes that Help Reduce the Risk of Confidential Data Leakage and Disclosure**

**Glen C. Mueller, CPA, CIA, CISA, CISM, Vice President**

**Chief Audit, Compliance, & Information Security Executive**

*Scripps Health, San Diego California*

This session will discuss enterprise level information security software tools and related monitoring processes to address the significant challenges of identifying where your organization’s structured and unstructured confidential information resides and how to better safeguard such data when at rest and in motion. Minimizing the opportunities for unauthorized disclosures of confidential information is an important objective for Privacy and Security Programs and this session will describe tools and techniques currently in use at Scripps Health and other healthcare providers.

**1:45-3:05 pm**

**Perspectives on Implementing, Securing, and Using EMR**

**Lois Pierson, CPA, CIA, Asst. Vice President, Auditing & Advisory Services**

*University of Texas Health Science Center at Houston*

Panel members will discuss electronic medical records from the implementation, audit, compliance, information technology, and end-user perspectives. Key topics to be covered include usability, patient safety, portability, security, integration with other systems, and issues shaping the future direction of electronic medical records.

**3:05-3:20 pm Break**

**3:20-4:10 pm**

**IIA Standards Update**

**Mike Peppers, CIA, CPA Assistant Vice President and Chief Audit Officer**

*University of Texas M.D. Anderson Cancer Center*

A member of the IIA’s Internal Audit Standards Board, our speaker will share unique insights on ways to make the *Standards* applicable in your everyday internal audit practice. He will also share a timely update on the revisions to the International Professional Practices Framework (Red Book), scheduled to be released in January 2009.

**4:10-5:00 pm**

**Research Auditing—A Checklist for your IRB**

**Cecelia Bishop, Assistant Vice President Audit & Compliance**

*St. Luke’s Episcopal Health System*

This presentation will discuss various steps to include when auditing your Institutional Review Board (IRB). A well-functioning IRB needs to ensure that proper scientific, ethical, and regulatory requirements are followed. The objectives of this session will be to gain an understanding of some evaluative criteria when auditing including:

- IRB Authority, Relationships, Membership, and Management
- IRB Functions and Operations
- IRB Records and Exemptions

# Registration

Southeast Regional Seminar  
Monday, October 13, 2008

\_\_\_\_\_  
AHIA Member ID

\_\_\_\_\_  
First Name M.I. Last Name

\_\_\_\_\_  
Credentials

\_\_\_\_\_  
Title

\_\_\_\_\_  
Organization

\_\_\_\_\_  
Street Address

\_\_\_\_\_  
City State Zip

\_\_\_\_\_  
Telephone Fax

\_\_\_\_\_  
Email (required for email confirmation)

Vegetarian Meals Requested  Special Assistance Required \_\_\_\_\_

**Join AHIA and receive a half-year membership for \$100!**

## Seminar Fees

- AHIA Members (\$150)
- Non-Members (\$195)
- AHIA Membership & Registration (\$250)

**Code: SEREGION**  
**Make checks payable to AHIA**  
**CANCELLATION/SUBSTITUTIONS**  
No refunds will be given for "no shows" or cancellations. You may send a substitute; please call AHIA at 888-275-2442

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**REGISTER**  
 **Online:**  
[www.AHIA.ORG](http://www.AHIA.ORG)  
 **Fax:**  
303-422-8894  
 **Mail:**  
AHIA, 10200 West 44th Avenue, Suite 304  
Wheat Ridge, CO 80003  
 **Questions:**  
[www.AHIA.ORG](http://www.AHIA.ORG) or 303-327-7546

## Conference Location

The conference will be held at:



**The Methodist Hospital System**  
1130 John Freeman Avenue  
Mary Gibbs Jones Building MGJ1-002  
(Second Floor)  
Houston, TX 77030

## Hotel Location

For those arriving the night before:

**HOUSTON MARRIOTT MEDICAL CENTER**  
6580 Fannin Street  
Houston, Texas 77030  
1-713-796-0080

Make your reservations directly with the Houston Marriott Medical Center 713-796-0080. Refer to the Methodist business rate of \$167 (plus tax) .

## CONTINUING PROFESSIONAL EDUCATION CREDITS :



AHIA is registered with the National Association of State Boards of Accountancy as a sponsor of continuing

professional education on the National Registry of CPE Sponsors. State boards of accountancy have final authority on the acceptance of individual courses for CPE credits. Complaints regarding registered sponsors may be addressed to the National Registry of CPE Sponsors, 150 Fourth Avenue North, Suite 700, Nashville TN, 37219-2417; 615.880.4200; <http://www.nasba.org>.

In accordance with the standards of the National Registry of CPE Sponsors, CPOE credits have been granted based on a 50-minute hour. National Registry of CPE Sponsors ID Number 103386

**A maximum of 8.5 credits will be granted**

**Subject area: Auditing**

**Skill Level: Intermediate**



Association of Healthcare Internal Auditors, Inc.  
10200 W. 44th Avenue, Suite 304  
Wheat Ridge, CO 80003  
www.AHIA.org

# REGIONAL SEMINAR



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October 13, 2008



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